

**FRAMEWORK FOR INTEROPERABLE AND DISTRIBUTED  
EXTRACTION-TRANSFORMATION-LOADING (ETL) BASED ON  
SERVICE ORIENTED ARCHITECTURE**

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## Abstrak

Pengekstrakan, Transformasi dan Proses Pemuatan (ETL) merupakan fungsi-fungsi utama dalam penyelesaian gudang data. Kekurangan komponen pengagihan dan saling kendali menyebabkan wujudnya lompang yang menimbulkan banyak masalah dalam domain ETL. Ini terjadi kerana komponen-komponen dalam kerangka kerja ETL sedia ada adalah saling berkait. Kajian ini membincangkan bagaimana untuk mengagihkan komponen-komponen ETL supaya komponen pengagihan dan saling kendali dapat dilaksanakan. Tambahan pula, kajian ini menunjukkan bagaimana kerangka kerja ETL dapat diperluaskan. Untuk mencapai tujuan tersebut, Perkhidmatan Berorientasikan Seni Bina digunakan untuk memperjelaskan ciri-ciri pengagihan dan saling kendali yang tidak wujud sebelum ini, dengan cara menyusun semula kerangka kerja ETL. Kajian ini menyumbang kepada bidang ETL dengan penambahan konsep pengagihan dan saling kendali kepada kerangka kerja ETL. Seterusnya, kajian ini juga menyumbang kepada bidang penggudangan data dan kepintaran perniagaan kerana ETL merupakan konsep utama dalam bidang ini. Metodologi Design Science Approach (DSA) dan Scrum digunakan untuk mencapai matlamat kajian ini. Integrasi di antara kedua-dua metodologi tersebut dapat mencapai objektif kajian ini. Kerangka kerja ETL yang baru ini direalisasikan menerusi pengujian dan penghasilan satu prototaip yang berdasarkan kepada kerangka kerja tersebut. Kejayaan prototaip ini dinilai berdasarkan tiga kajian kes yang melibatkan data dan alatan daripada tiga organisasi. Organisasi tersebut menggunakan penyelesaian gudang data untuk menghasilkan laporan statistik yang membolehkan pengurusan atasan membuat keputusan. Dapatan ketiga-tiga kajian kes ini menunjukkan komponen pengagihan dan saling kendali dapat dicapai dengan menggunakan kerangka kerja yang baru dalam ETL.

**Katakunci:** Pengekstrakan, Transformasi dan Proses Pemuatan, Gudang data, Sistem, Perkhidmatan berorientasikan seni bina.

## **Abstract**

Extraction, Transformation and Loading (ETL) are the major functionalities in data warehouse (DW) solutions. Lack of component distribution and interoperability is a gap that leads to many problems in the ETL domain, which is due to tightly-coupled components in the current ETL framework. This research discusses how to distribute the Extraction, Transformation and Loading components so as to achieve distribution and interoperability of these ETL components. In addition, it shows how the ETL framework can be extended. To achieve that, Service Oriented Architecture (SOA) is adopted to address the mentioned missing features of distribution and interoperability by restructuring the current ETL framework. This research contributes towards the field of ETL by adding the distribution and interoperability concepts to the ETL framework. This leads to contributions towards the area of data warehousing and business intelligence, because ETL is a core concept in this area. The Design Science Approach (DSA) and Scrum methodologies were adopted for achieving the research goals. The integration of DSA and Scrum provides the suitable methods for achieving the research objectives. The new ETL framework is realized by developing and testing a prototype that is based on the new ETL framework. This prototype is successfully evaluated using three case studies that are conducted using the data and tools of three different organizations. These organizations use data warehouse solutions for the purpose of generating statistical reports that help their top management to take decisions. Results of the case studies show that distribution and interoperability can be achieved by using the new ETL framework.

**Keywords:** Extraction, Transformation and Loading, Data warehousing, Service Oriented Architecture.

## **List of Publications, Invited Speaker and Awards**

Awad, M. M. I., & Abdullah, M. S. (2010). A Framework for Interoperable Distributed ETL Components Based on SOA. *Proceeding of the 2nd International Conference on Software Technology and Engineering (ICSTE 2010)*.

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Mohammed M I Awad - Keynote Speaker<sup>1</sup>. A Framework for Open-Source Interoperable Distributed ETL Components Based on SOA<sup>2</sup>. *Malaysia Open Source Conference 2010 (MOSC 2010)*.

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Silver Medal. *Seoul International Invention Fair 2011 (SIIF 2011)*, South Korea.

Gold Medal. *Malaysia Technology Expo 2012 (MTE 2012)*.

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<sup>1</sup> <http://conf.oss.my/speakers.html>

<sup>2</sup> <http://conf.oss.my/schedule.html>

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## List of Abbreviations

Acronym	Description
BI	Business Intelligence
DW	Data Warehouse
ETL	Extraction-Transformation-Loading
SOA	Service Oriented Architecture
J2EE	Java 2 Enterprise Edition
XML	eXtensible Markup Language
SOAP	Simple Object Access Protocol
DSA	Design Science Approach
WSDL	Web Services Description Language
LAN	Local Area Network
DSA	Data Staging Area
OMG	Object Management Group
CORBA	Common Object Request Broker Architecture
RMI	Remote Method Invocation
RPC	Remote Procedure Call
DCOM	Distributed Component Object Model
HTML	Hyper Text Markup Language
JSP	Java Server Pages
EJB	Enterprise Java Beans
DBMS	Database Management System
EIS	Enterprise Information System
HTTP	Hyper Text Transfer Protocol
JMS	Java Messaging Service
OLAP	online analytical processing
IT	Information Technology
OWB	Oracle Warehouse Builder
API	Application Programming Interface

BODI	Business Objects Data Integrator
CAL	client access license
SSIS	SQL Server Integration Services
GUI	Graphical User Interface
BIDS	Business Intelligence Development Studio
DCOM	Distributed Component Object Model
SC	Service Container
JDBC	Java Database Connectivity
BPEL	Business Process Execution Language

# CHAPTER ONE

## INTRODUCTION

This chapter presents the background and outlines the motivation of the research. This is followed by the research problems, the research question and the research objectives. Moreover, the research strategy is discussed and the scope of the research is argued. Furthermore, the research contribution is highlighted, the organization of the thesis is explored and chapter conclusions are presented.

### 1.1 Research Background

Data warehouses (DW) have become a main component of the corporate information system architecture, in which it plays a major role in building decision support systems (Vassiliadis *et al.*, 2002; Darmont *et al.*, 2005; Wrembel & Koncilia, 2007). By collecting data from a variety of internal and external sources, data warehouses use the transformation functionality which is a function in the ETL framework (explained in Chapter Two) to provide homogeneous information for analysis and reporting tasks (Wrembel & Koncilia, 2007; Bala *et al.*, 2009).

The uses of data warehousing products and services have been increasing over the years by industry as well as the development of the related technologies (Sen & Sinha, 2007; Wrembel & Koncilia, 2007). Furthermore, within the last decade, data warehouse field has made a very important step by moving from simple centralized repositories to a platform for data integration and analysis (Vitt *et al.*, 2002; Mundy *et al.*, 2006; Watson & Wixom, 2007; Xi & Hongfeng, 2009). This move is pushing the success of the whole Business Intelligence (BI) field.

The contents of  
the thesis is for  
internal user  
only

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